By Express Mail #EV857193821US

Attorney Docket # 502902-225PUS

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re National Phase PCT Application of

Bert BRAUNE et al.

Int'l PCT Appln. No.: PCT/DE2004/002136

Int'l Filing Date:

September 24, 2004

For:

Green-Emitting LED

Check box if applicable:

DUPLICATE

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

SIR:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed on the enclosed Form PTO/SB/08a. Copies of the listed documents are enclosed.

The references submitted herewith have been on page 1 of the instant specification.

It is respectfully requested that the above information be considered by the Examiner and that the copy of the enclosed Form PTO/SB/08a be returned indicating that such information has been considered.

In accordance with 37 C.F.R §§1.97(g) and (h), the filing of this Information Disclosure Statement should not be construed as a representation that a search has been made or that information cited is, or is considered to be, material to patentability as defined in §1.56(b), or that any cited document listed or attached is (or constitutes) prior art. Unless otherwise

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indicated, the date of publication indicated for an item is taken from the face of the item and Applicant(s) reserve(s) the right to prove that the date of publication is in fact different.

If any fees or charges are deemed required at this time in connection with the application, the same may be charged to our Patent and Trademark Office Deposit Account No. 03-2412.

Respectfully submitted, COHEN, PONTANI, LIEBERMAN & PAVANE

By:

Thomas Langer Reg. No. 27,264

551 Fifth Avenue, Suite 1210 New York, New York 10176

(212) 687-2770

Dated: March 20, 2006

10/572891

Approved for use through 04/30/2003. OMB 0651-0031

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Substitute for	Form 1449/PTO				Complete if Known		
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INFORMATION DISCLOSURE					First Named Inventor	Herbert BRUNNER	
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Sheet	1	of	2		Attorney Docket Number	502902-225PUS	

			U.S. PATEN	NT DOCUMENTS	
Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant
Initials*	No. 1	Number-Kind-Code 2 (if known)	MM-DD-YYYY	Applicant of Cited Document	Passages or Relevant Figures Appear
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	FOREIGN PATENT DOCUMENTS							
Examiner	Cite	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines,	Té		
Initials*	No. 1	Country Code ³⁻ Number ⁴⁻ Kind-Code ^{5 (if known}	MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear			
/A.W./		DE 100 24 924 A1	11/29/2001	Faschinger		Abstract		
/A.W./		DE 198 06 536 A1	08/20/1989	Yoshinaga et al.		Abstract		
/A.W./		EP 1 150 361 A1	04/23/2001	Mueller-Mach et al.				
/A.W./		EP 0 584 599 A1	08/04/1993	Neumann et al.				
/A.W <i>.</i> /		WO 01/89001 A2	11/22/2001	Srivastava et al.				
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\cdot	Examiner Signature	/Aaron Williams/	Date Considered	07/09/2008

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 'Applicant's unique citation designation number (optional). 'See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 'Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 'For Japanese patent documents, the indication of the year of the Emperor must precede the serial number of the patent document. 'Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 'Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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INCODMATION DISCLOSUDE	Filing Date		
INFORMATION DISCLOSURE	First Named Inventor	Herbert BRUNNER	
STATEMENT BY APPLICANT	Art Unit		
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Sheet 2 of 2	Attorney Docket Number	502902-225PUS	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. 1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
/A.W./		J.W.H. van Krevel, "On new rare-earth doped M-Si-Al-O-N materials - Luminescence properties and oxidation resistance", Chapter 6, Luminescence of Ln-Si-O-N:Tb (Ln=Y, Gd, La), pp. 73-87 2000, ISBN 90-386-2711-4.	
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Submitted herewith is a copy of the International Search Report issued in connection with International Application on which the present U.S. National Phase application is based.

Copies of the references cited in the International Search Report have been supplied by WIPO to the USPTO. Therefore, copies of the cited references in the International Search Report are not being provided herewith in accordance with current U.S. practice because they should be on file already at the USPTO.

It is respectfully requested that the Examiner return an initialed copy of the attached Form PTO SB/08A to confirm that the publications supplied by WIPO and listed therein have been considered and made of record.

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			U.S. PATEN	Γ DOCUMENTS	
Examiner Initials*	Cite No. 1	Document Number Number-Kind-Code ^{2 (if known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
/A.W./		US-2002/043926 A1	04/18/2002	Takahashi et al.	
/A.W./		US-6,255,670 B1	07/03/2001	Srivastava et al.	
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Examiner	Cite	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines,	T ⁶
Initials*	No. 1	Country Code ³ Number ⁴ Kind-Code ⁵ (if known	MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	
/A.W./		EP 1 411 558 A	04/21/2004	Lumileds Lighting U.S.		
				LLC.		
/A.W.	/	EP 1 413 618 A	04/28/2004	Osram Opto		
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Examiner Signature	/Aaron Williams/	Date Considered	07/25/2008

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NON PATENT LITERATURE DOCUMENTS					
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/A.W./		Krevel, et al., "Luminescence Properties of Terbium-, Cerium, or Europium-Doped Alpha-Sialon Materials", Journal of Solid State Chemistry, Orlando, FL, Vol. 165, No. 1, pp. 19-24, April 2002			
/A.W./		Xie et al., "Preparation and Luminescence Spectra of Calcium-and Rare-Earth (R=Eu, TB, and PR)-Codoped Alpha-Sion Ceramics", Journal of the American Ceramic Society, American Ceramic Society, Columbus, US, Vol. 85, No. 5, pp. 1229-1234, October 2000			

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